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Substitute for form 1449/PTO			Complete If Known <i>10/4/95, 570</i>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	<i>Divisional of 09/565,401</i>	
			Filing Date	Concurrently Herewith	
			First Named Inventor	Michael Togher	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	4	Attorney Docket Number	E3331.0587/P587

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
<i>SC</i>	AA	US-4,980,826	12/1990	Wagner	
<i>SC</i>	AB	US-5,038,284	8/1991	Kramer	
	AC	US-5,077,665	12/1991	Silverman et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)			
<i>SC</i>	BA	0 411 748	May 1990	EP	
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Substitute for form 1449/PTO			Complete if Known <i>10/6/95, 570</i>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			Application Number	<i>Divisional of 09/563,464</i>	
			Filing Date	Concurrently Herewith	
			First Named Inventor	Michael Togher	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
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<i>BD</i>	BD	0 399 850	Nov. 1990	EP		
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<i>BF</i>	BF	93/15467	8/1993	PCT/WIPO/WO		
<i>BG</i>	BG	96/05563	2/1996	PCT/WIPO/WO		
<i>BH</i>	BH	97/45802	12/1997	PCT/WIPO/WO		
<i>BI</i>	BI	97/22072	6/1997	PCT/WIPO/WO		
<i>BJ</i>	BJ	97/08640	3/1997	PCT/WIPO/WO		

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
<i>BC</i>	CA	Declaration of William Lloyd Donner, Jan. 1998.			
<i>BC</i>	CB	Money Match, Functional Specification, Version 1, Aug. 1990, The Sequor Group Inc. Software Services.			
	CC	Earle, Dennis M. New Path for Trade Settlement, Wall Street Computer Review.			
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	CK	Clemons, E.K., Row, C., Merrill Lynch Cash Mgmt Account Financial Svc: A Case Study in Strategic Info Systems, 21st Annual Hawaii Int. Conf. on Sysm Sci. 1988 V. 4.			
	CL	Finebaum, M., Information Systems in the Securities Industry, 21st Annual Hawaii Int. Conf. on System Sciences, 1988, vol. 4.			
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	CN	Gutner, Tammi, Fine if You're Big, The Banker, Oct. 1988.			
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	CP	Essinger, James, ISE'S 'SAEF' Debuts to Make the Market Sound, Wall Street Computer Review, May 1989.			
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<i>BC</i>	CR	Schmerken, Ivy, High-Tech Banks Set to Challenge Wall Street, Wall Street Computer			

*G. Colbert* *4-21-85*

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		Filing Date	Concurrently Herewith		
		First Named Inventor	Michael Togher		
		Art Unit	N/A		
		Examiner Name	Not Yet Assigned		
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		Review, Jul. 1989.	
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	CU	Brennan, P.J., OTC Trading Systems Lead in Race to Automate, Wall Street Computer Review, Nov. 1990.	
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	CY	Heyman, D.P., A Performance Model of the Credit Manager Algorithm, Computer Networks and ISDN Systems, Mar. 1992.	
	CZ	Rihaczek, Karl, Teletrust, Computer Networks and ISDN Systems, 1987.	
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	CC1	Tulley, C., International Banking and Communications, Networks and Electronics Office Systems, 1985.	
	CD1	Method for Collection of Accounting Data, IBM Technical Disclosure Bulletin, 1986.	
	CE1	Banks Look to Build FX Order-Matching System Quotron Eyed as Vendor, Minex as Co-Sponsor?, FX Week, May 17, 1991.	
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	CJ1	Davis, Stephen G., Two Rivals to Reuters' F/X System April Launches, Bank Letter, Nov. 30, 1992.	
	CK1	Two Rivals to Reuters' F/X System April Launches, Wall Street Letter, Nov. 30, 1992.	
	CL1	Reuters to Offer Last-Trade Feed of Currency Rates, Inside Market Data, Jun. 8, 1992.	
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	CP1	Goodman, Ann, New Trading Products Spawn New Systems; The Chicago Board of Trade is Building a New Electronic Trading System, Wall Street Comp. Rvw. Jan. 1992.	
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			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	4	of	4	Attorney Docket Number	E3331.0587/P587

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	CJ2	Schmerken, Ivy, What's Next on Wall Street's Automation Agenda? <i>Trading Technology</i> , <i>Wall Street Computer Review</i> , Apr. 1989.	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

*F. Collett*

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